

TFW  
3624/27  
[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH  
Serial No. : 09/447,319  
Filed : November 22, 1999

For : **SYSTEM AND METHOD FOR  
TRADING AN INSTRUMENT**

Examiner : Hani M. Kazimi

Group Art Unit : 3624  
Confirmation No. : 3669  
Customer No. : 26646

I hereby certify that this correspondence is being deposited with the  
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  
on

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: 6/12/2006  
Signature: AARON C. DEDITCH  
(33,865)

TRANSMITTAL

SIR:

Transmitted herewith for filing in the above-identified patent application is an  
Amendment.

The Commissioner is authorized to charge the appropriate fees of \$250.00 for the five  
(5) net added dependent claims to Deposit Account No. 11-0600, and is also authorized, as  
appropriate and/or necessary, to charge any additional fees (including any Rule 136(a)  
extension fees) or credit any overpayment to Deposit Account No. 11-0600. Two duplicate  
copies of this transmittal letter are enclosed for these purposes.

Respectfully submitted,

Dated: 6/12/2006

By: Aaron C. Deditch  
(Reg. No. 33,865)

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One Broadway  
New York, New York 10004  
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**CUSTOMER NO. 26646**

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Date: *6/12/2006*

Signature: *[Signature]*

**AARON C. DEDITCH**  
(33,865)

**AMENDMENT**

SIR:

In response to the Office Action mailed on April 25, 2005 (the three-month response date for which is July 25, 2006), please reconsider the above-identified application based on the following:

**Amendments to the CLAIMS** are reflected in the listing of the claims which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.

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